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<b>TRANSMITTAL FORM</b>  (to be used for all correspondence after initial filing)	Application Number	10/693043	
	Filing Date	10-24-2004	
	First Named Inventor	Li	
	Art Unit	3751	
	Examiner Name		
Total Number of Pages in This Submission	4	Attorney Docket Number	14698.005US

ENCLOSURES (check all that apply)		
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Remarks <b>This Information Disclosure Statement is being submitted prior to the issuance of a first office action and no fee is due</b>		

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT	
Firm or Individual name	Laurence P. Colton TECHNOPROP COLTON LLC
Signature	
Date	27 July 2004

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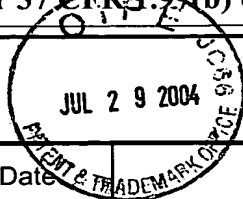
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**TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT**  
(Under 37 CFR 1.97(b) or 1.97(c))

Docket No.  
14698.005US

In Re Application Of: LI



Application No.	Filing Date	Examiner	Customer No.	Group Art Unit	Confirmation No.
10/693043	10-24-2003		022870	3751	1547

Title: **WATERFALL HANDLE**

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**37 CFR 1.97(b)**

1. ☒ The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application other than a continued prosecution application under 37 CFR 1.53(d); within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; before the mailing of a first Office Action on the merits, or before the mailing of a first Office Action after the filing of a request for continued examination under 37 CFR 1.114.

**37 CFR 1.97(c)**

2. ☐ The Information Disclosure Statement submitted herewith is being filed after the period specified in 37 CFR 1.97(b), provided that the Information Disclosure Statement is filed before the mailing date of a Final Action under 37 CFR 1.113, a Notice of Allowance under 37 CFR 1.311, or an Action that otherwise closes prosecution in the application, and is accompanied by one of:

☐ the statement specified in 37 CFR 1.97(e);

**OR**

☐ the fee set forth in 37 CFR 1.17(p).

**TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT**  
(Under 37 CFR 1.97(b) or 1.97(c))

Docket No.  
14698.005US

In Re Application: LI

JUL 29 2004

Application No. 10/693043	Filing Date 10-24-2003	Examiner	Customer No. 022870	Group Art Unit 3751	Confirmation No. 1547
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**WATERFALL HANDLE**

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Dated: 27 July 2004

CC:

Substitute for form 1449A/PTO <b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b> <i>(use as many sheets as necessary)</i>		<b>Complete if Known</b>	
	1	of	2
		Application Number	10/693043
		Filing Date	10-24-2003
		First Named Inventor	LI
		Art Unit	3751
		Examiner Name	
		Attorney Docket Number	14698.005US

U.S. PATENT DOCUMENTS						
Examiner Initials*	Cite No. <sup>1</sup>	Document Number		Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number	Kind Code <sup>2</sup> (if known)			
	L1	US-	6595675 B2	07-22-2003	Dongo	
	L2	US-	6595435 B1	07-22-2003	Cardenas	
	L3	US-	6450418 B1	09-17-2002	Simpson	
	L4	US-	6367100 B2	04-09-2002	Koren	
	L5	US-	6210568 B1	04-03-2001	Harder	
	L6	US-	6170094 B1	01-09-2001	Weise	
	L7	US-	5893179	04-13-1999	Johnson	
	L8	US-	5309581	05-10-1994	Lockwood	
	L9	US-	4852190	08-01-1989	Jacuzzi	
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FOREIGN PATENT DOCUMENTS								
Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document			Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T <sup>6</sup>
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